U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/007,120 Application Number NSMITTAL October 26, 2001 Filing Date MAR 0 4 2003 & FORM First Named Inventor Peterka, Petr I correspondence after initial filing) 2131 Art Unit **Examiner Name** Total Number of Pages in This Submission 6 Attorney Docket Number 018926-006540US ENCLOSURES (Check all that apply) After Allowance Communication to Fee Transmittal Form Drawing(s) Group Appeal Communication to Board of ☐ Fee Attached Licensing-related Papers Appeals and interferences Petition Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund Return Postcard Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement RECEIVED The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. MAR 0 6 2013 Remarks Document(s) Response to Missing Parts/ Technology Center 2100 Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm William F. Vobach Reg. No. 39,411 Individual Signature February 28, 2003 Date CERTIFICATE OF TRANSMISSION/MAILING hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: February 28, 2003 Typed or printed Kay Barclay Date February 28, 2003

This collection of information is required 🕻 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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SUBMITTED BY					Com	plete (if applicable)	
Name (Print/Type) William F. Vobach Registration No. (Attor	ney/Agent) 39	411		Telephone	303-571-4000	
Signature William F. Volacl					Date	February 28, 2003	
Signature William V. Voloci							

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Attorney Docket No.: 018926-006540US Client Reference No.: D2570

Assistant Commissioner for Patents

Washington, D.C., 20231

on <u>Feb. 28, 2003</u>

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Technology Center 2100

TOWNSEND and TOWNSEND and CREW LLP

MAR 0 4 2003 &

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Petr Peterka

Application No.: 10/007120

Filed: October 26, 2001

For: INITIAL FREE PREVIEW FOR

MULTIMEDIA MULTICAST

CONTENT

Examiner:

Art Unit: 2131

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed are copies of the Search reports corresponding to related PCT applications as noted below:

 International Search Report for International Application No. PCT/US01/50360; Petr Peterka PATENT

Application No.: 10/007120

Page 2

2. International Search Report for International Application No.

PCT/US01/51051;

3. International Search Report for International Application No.

PCT/US01/51362;

4. International Search Report for International Application No.

PCT/US01/51649;

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

William F. Whal

William F. Vobach Reg. No. 39,411

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: 303-571-4000 Fax: 303-571-4321

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PTO/SB/08A (10-01) Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1 Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number 10/007120

Filling Date October 26, 2001

First Named Inventor Peterka, Petr

Art Unit 2131

Examiner Name

(use as many sheets as necessary)

Sheet 3 of Attorney Docket Number 018926-006540US

	U.S. PATENT DOCUMENTS						
Examiner	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA	US-5,748,736	05-05-1998	Suvo Mittra			
	AB	US-5,790,198	08-04-1998	Roop et al.			
	AC	US-5,659,615	08-19-1997	Douglas Dillon	RECEIVED -		
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application Number	10/007120			
		Filing Date	October 26, 2001			
		First Named Inventor	Peterka, Petr			
				Art Unit	2131	
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Sheet	4	of		Attorney Docket Number	018926-006540US	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
	вс	I. CHANG, et al.; Key Management for Secure Internet Multicast using Boolean Function Minimization Techniques; Infocom '99, 18th Annual Joint Conference of the IEEE Computer and Communications Societies, Proceedings, IEEE New York, NY USA, March 21, 1999.	
	BD	P.T. DINSMORE et al.; Policy-Based Security Management for Large Dynamic Groups: An Overview of the Doom Project; proceedings of the Darpa speech and Natural Language Workshop, Vol. 1, 1999 IEEE	
	BE	J.P. GRAY, et al.; ATM Cell Encryption and Key Update Synchronization; Telecommunication Systems, Basel, CH; Vol. 7, No. 4, 1997; ISSN: 1018-4864	
	BF	S. MITTRA; IOLUS: A Framework for Scalable Secure Multicasting; Computer Communications Review, Association for Computing Machinery, New York, US; Vol. 27, No. 4; September 14, 1997; ISSN: 0146-4833	
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